

PATENT APPLICATION TRANSMITTAL

First Inventor or Application Identifier: Etsun TSUCHIDA

Title: AMPHIPHILIC COMPOUNDS HAVING A DENDRITIC BRANCH STRUCTURE

Assignee Name: Japan Science and Technology Corporation

Assignee Address: 1-8, Honcho 4-chome, Kawaguchi-shi, Saitama 332-0012 Japan

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Sheets
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets
4. ☒ Oath or Declaration Total Pages
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached detailing inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.386)
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification or Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☐ White Advance Serial No. Postcard
14. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Applicant claims small entity status. See 37 CFR 1.27
16. ☒ Other: Request for Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of International Application No.: PCT/JP00/05702
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosures of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP) of International Application No. PCT/JP00/05702 Filed on AUGUST 24, 2000
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Name: Norman F. Olson	Registration No.: 24,618
Signature: <i>[Signature]</i>	Date: January 27, 2001
Name: William E. Beaumont	Registration No.: 30,996

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Eishun TSUCHIDA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: AMPHIPHILIC COMPOUNDS HAVING A DENDRITIC BRANCH STRUCTURE

 1104 U.S. PRO
 10 083555
 02/27/03

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	24 - 20 =	4	x \$18 =	\$72.00
INDEPENDENT CLAIMS	3 - 3 =	0	x \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$812.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
TOTAL				\$852.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \$0.00 A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$852.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.Date: February 27, 2002

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Docket No. 220114US0CONT

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